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WO 02/16627 A2

(54) Title: SULFUR CONTAINING POLYHYDROXYALKANOATE COMPOSITIONS AND METHOD OF PRODUCTION

(57) Abstract: A class of biopolymer including sulfur in the form of a thioester in the polymer backbone or a thioether in the polymer side chains has been developed. These are preferably produced by fermentation of bacteria with appropriate sulfur containing substrates, which are incorporated by a broad spectrum polyhydroxyalkanoate ("PHA") polymerase. The sulfur-containing PHAs allow various applications and uses in industry. Representative embodiments of the applications of the sulfur-containing PHAs include their uses in the packaging industry, medicine, pharmacy, agriculture or food industry, as active agents or as coatings, packaging, or carriers.

# SULFUR CONTAINING POLYHYDROXYALKANOATE COMPOSITIONS AND METHOD OF PRODUCTION

## CROSS-REFERENCE TO RELATED APPLICATIONS

- 5           Priority is claimed to U.S. Provisional application Serial No.  
60/226,139, filed August 18, 2000.

## BACKGROUND OF THE INVENTION

10           The present invention is generally in the field of biopolymers, and in  
particular describes a class of polythioesters which can be produced by  
bacterial fermentation.

          Polymers are the most abundant molecules in living matter. There are  
seven general classes of biopolymers are distinguished: polynucleotides,  
polyamides, polysaccharides, polyisoprenes, lignin, polyphosphate and  
15   polyhydroxyalkanoates, PHA (Müller & Seebach, 1993) (Table 1). Poly(3-  
hydroxybutyrate), PHB, belongs to the latter class as a wide spread bacterial  
storage compound and was already observed in 1926 as hydrophobic  
inclusions in the cytoplasm of *Bacillus megaterium* (Lemoigne, 1926).  
Today many genera of bacteria are known to accumulate PHAs as energy  
20   and carbon source mostly under restricted growth conditions, e.g. oxygen- or  
nitrogen-limitation (Anderson & Dawes, 1990; Steinbüchel, 1991).

          Polyhydroxyalkanoates (PHAs) are polymers with repeating hydroxy  
acid monomeric units. PHAs have been reviewed in several publications,  
including Byrom, "Miscellaneous Biomaterials," in Biomaterials (D. Byrom,  
25   ed.) pp. 333-59 (MacMillan Publishers, London 1991); Hocking and  
Marchessault, "Biopolyesters" in Chemistry and Technology of  
Biodegradable Polymers (G.J.L. Griffin, ed.) pp. 48-96 (Chapman and Hall,  
London 1994); Müller and Seebach, *Angew. Chem. Int. Ed. Engl.*,  
32:477-502 (1993); Steinbüchel, "Polyhydroxyalkanoic Acids," in  
30   Biomaterials (D. Byrom, ed.) pp. 123-213 (MacMillan Publishers, London  
1991); and Williams and Peoples, *CHEMTECH*, 26:38-44 (1996).

**Table 1. Eight classes of biopolymers and characteristics of their biosynthesis and occurrence**

Class	Template-dependent synthesis	Substrate of the polymerase	Synthesis in	
			Prokaryote	Eukaryote
Nucleic acids	yes	DNTPs, NTPs	yes	yes
Proteins and Polyaminoacids	yes no	aminoacyl-tRNAs amino acids	yes yes	yes yes
Polysaccharides	no	Sugar-NDP, Sucrose	yes	yes
Polyhydroxyalkanoate	no	Hydroxyacyl Co A	yes	no
Polythiesters	no	Mercaptoacyl Co A	yes	no
Polyphosphate	no	ATP	yes	yes
Polyisoprenoids	no	A*	no	only plants
Lignin	no	B*	no	only plants

\* A: Isopentenylpyrophosphate; B: Radicalic intermediates.

5 A wide range of bacteria are known to accumulate polyhydroxyalkanoates (PHA) as intracellular storage compounds. Due to the properties of these polymers as biodegradable thermoplastics, and elastomers they have attracted much interest and are considered for various technical applications in industry, medicine, agriculture and other areas  
10 (Anderson, A. J. & Dawes, E. A., *Microbiol. Rev.* 54, 450-472 (1990); Steinbüchel, 1991).

Several types of polyhydroxyalkanoates are formed in nature by various organisms in response to environmental stress. These PHAs can be broadly divided into three groups according to the length of their pendant  
15 groups and their respective biosynthetic pathways. Relatively short pendant groups include the C<sub>3-5</sub> hydroxy acids, whereas relatively long pendant groups include C<sub>6-14</sub> hydroxy acids.

There are three major types of naturally occurring PHAs. The first type includes only relatively short hydroxy acid monomeric units. The  
20 second type include both relatively short and relatively long hydroxy acid monomeric units. The third type includes only relatively long hydroxy acid monomeric units. Those with short pendant groups, such as polyhydroxybutyrate (PHB), a homopolymer of R-3-hydroxybutyric acid (R-3HB) units, are highly crystalline thermoplastic materials (Lemoigne and

Roukhelman, *Annales des fermentations*, 5:527-36 (1925)). PHAs containing the short R-3HB units randomly polymerized with much longer pendant group hydroxy acid units were first reported in the early seventies (Wallen and Rohwedder, *Environ. Sci. Technol.*, 8:576-79 (1974)). A number of  
5 microorganisms which specifically produce copolymers of R-3HB with these longer pendant group hydroxy acid units are also known and belong to this second group (Steinbüchel and Wiese, *Appl. Microbiol. Biotechnol.*, 37:691-97 (1992)). In the early 1980's, a research group in The Netherlands identified the third group of PHAs, which contains predominantly longer  
10 pendant group hydroxy acids (De Smet, *et al.*, *J. Bacteriol.*, 154:870-78 (1983)).

PHAs may constitute up to 90% of the dry cell weight of bacteria, and are found as discrete granules inside the bacterial cells. These PHA granules accumulate in response to nutrient limitation and serve as carbon  
15 and energy reserve materials. Distinct pathways are used by microorganisms to produce each group of these polymers. One of these pathways leading to the short pendant group polyhydroxyalkanoates (SPGPHAs) involves three enzymes: thiolase, reductase, and PHB synthase (sometimes called polymerase). Using this pathway, the homopolymer PHB is synthesized by  
20 condensation of two molecules of acetyl-Coenzyme A to give acetoacetyl-Coenzyme A, followed by reduction of this intermediate to R-3-hydroxybutyryl-Coenzyme A, and subsequent polymerization. The last enzyme in this pathway, the synthase, has a substrate specificity that can accommodate C<sub>3-5</sub> monomeric units, including R-4-hydroxy acid and  
25 R-5-hydroxy acid units. This biosynthetic pathway is found, for example, in the bacteria *Zoogloea ramigera* and *Alcaligenes eutrophus*.

The biosynthetic pathway which is used to make the third group of PHAs, long pendant group polyhydroxyalkanoates (LPGPHAs), is still partly unknown. However, it is currently thought that the monomeric hydroxyacyl  
30 units leading to the LPGPHAs are derived by the  $\alpha$ -oxidation of fatty acids and the fatty acid pathway. The R-3-hydroxyacyl-Coenzyme substrates resulting from these routes are then polymerized by PHA synthases

(sometimes called polymerases) that have substrate specificities favoring the larger monomeric units in the C<sub>6-14</sub> range. LPGPHAs are produced, for example, by *Pseudomonads*.

5 The second group of PHAs containing both short R-3HB units and longer pendant group monomers are believed to utilize both the pathways to provide the hydroxy acid monomers. The latter are then polymerized by PHA synthases able to accept these units.

Roughly 100 different types of PHAs have been produced by fermentation methods so far (Steinbüchel and Valentin, *FEMS Microbiol., Lett.*, 128:219-28 (1995)). A number of these PHAs contain functionalized pendant groups such as esters, double bonds, alkoxy, aromatic, halogens, and hydroxy groups. Transgenic systems for producing PHAs in both microorganism and plants, as well as enzymatic methods for PHA synthesis, are reviewed by Williams and Peoples, *CHEMTECH*, 26:38-44 (1996).

15 Two PHAs belonging to the first group, polyhydroxybutyrate (PHB) and polyhydroxybutyrate-co-valerate (PHBV), have been extensively studied. PHBV is a copolymer of R-3HB units with 5-24% R-3-hydroxyvaleric acid (R-3HV), and is known commercially as Biopol<sup>TM</sup> (supplied by ICI/Zeneca). These polymers are natural thermoplastics which  
20 can be processed using conventional polymer technology and which have industrially useful properties, such as biodegradability in soil and marine environments and good barrier properties. They are characterized by melting points which range from 130 to 180°C, and extensions-to-break of 8 to 42% (see Zeneca Promotional Literature, Billingham, UK 1993).

25 So far, more than 130 different hydroxyalkanoic acids have been described as constituents of PHAs, comprising 3-, 4-, 5-, and 6-hydroxyalkanoic acids of various chain length. The pendant alkyl side chain can in addition contain various constituents (for review see Steinbüchel & Valentin, 1995). Whereas the large variety of PHA constituents refers almost  
30 exclusively to the modified side chains in the  $\beta$ -position of the hydroxyalkanoic acids, PHAs with modified backbones are rare. Examples are 2-methyl-3-hydroxybutyric acid and 3-hydroxypivalic acid, which have

been identified as PHA constituents resulting in polymer chains with one or two methyl groups, respectively, in the backbone (Satho *et al.*, (1992) *Wat. Sci. Technol.* 26, 933-942; Fächtenbusch *et al.*, (1998) *FEMS Microbiol. Lett.* 159, 85-92).

5           Bacteria synthesize PHAs from coenzyme A thioesters of the respective hydroxyalkanoic acid and are able to produce a wide range of different PHAs due to the rather unspecific PHA synthases that catalyze the polymerization reaction. In 1974, 3-hydroxyvaleric acid and 3-hydroxyhexanoic acid were identified as additional constituents of these  
10       bacterial polyesters (Wallen & Rohwedder, 1974). Only a few polyesters can be obtained from simple and abundantly available carbon sources, e.g. glucose. The large variety of PHAs comprises 3-, 4-, 5-, and  
15       6-hydroxyalkanoic acids of varying chain length, possibly containing additional methyl or other alkyl groups, double bonds, or different  
15       substituents at various positions of the hydroxyalkanoic acid and is often based on the feeding of suitable precursor substrates, which exhibit chemical structures related to the PHA constituents (Steinbüchel & Valentin, 1995).

          It is an object of the present invention to provide a class of polyhydroxyalkanoates which include a thioester bond in the polymer  
20       backbone or a thioether bond in the polymer side chains.

          It is a further object of the present invention to provide a means for producing polyhydroxyalkanoates which include a thioester bond or thioether bond.

#### SUMMARY OF THE INVENTION

25           Biopolymers including sulfur in the form of a thioester in the polymer backbone or a thioether in the polymer side chains have been developed. These are preferably produced by fermentation of bacteria with appropriate sulfur containing substrates, which are incorporated by a broad spectrum PHA polymerase.

30           As demonstrated by example 1, a hitherto unknown copolymer that contains sulfur in the backbone linking 3-mercaptopropionic acid and 3-hydroxybutyric acid by thioester linkages was synthesized by *R. eutropha*.

Besides proteins and some complex polysaccharides, this is the first demonstration of the biosynthesis of a polymer containing sulfur. The copolymer contributed up to 19% of the cellular dry weight and consisted of up to 43 mol% of 3-mercaptopropionic acid.

5           As demonstrated by example 2, a hitherto unknown copolymer that contains sulfur in the backbone linking 3-hydroxybutyrate and 3-mercaptoputyrate by thioester linkages was synthesized by the polyhydroxyalkanoate- (PHA) accumulating bacterium *R. eutropha*, when 3-mercaptoputyric acid was fed as carbon source in addition to gluconate. The  
10 chemical structure of this polymer was confirmed by gas chromatography/mass spectrometry, infrared spectroscopy, <sup>1</sup>H- and <sup>13</sup>C-nuclear magnetic resonance spectroscopy, and elemental sulfur analysis.

          As demonstrated by example 3, in the presence of PTO in the medium, *R. eutropha* PHB<sup>-</sup>4 pBBR1::*phaC1* synthesized a hitherto unknown  
15 polyester including exclusively 3-hydroxypropylthiobutyrate, 3-hydroxypropylthiohexanoate, and 3-hydroxypropylthiooctanoate as polymer constituents, poly(3HPTB-co-3HPHx-co-3HPTO). Larger amounts of poly(3HPTB-co-3HPHx-co-3HPTO) can also be produced via biological engineering.

20           The sulfur-containing PHAs allow various applications and uses in industry. Representative embodiments of the applications of the sulfur-containing PHAs include their uses in the packaging industry, medicine, pharmacy, agriculture or food industry, as active agents or as coatings, packaging, or carriers.

25           In one preferred embodiment, the sulfur-containing PHAs can be used as an anti-bacterial agent, an anti-viral agent, or an anti-fungal agent. In one most preferred embodiment, the sulfur-containing PHAs can be used as anti-bacterial coatings.

          In another preferred embodiment, the sulfur-containing PHAs are  
30 used as electrolytes.

### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is the structural formula of poly(3HB-co-3MP).

Figure 2 is the structural formula of poly(3HB-co-3MB).

Figure 3 is a schematic of the putative pathway for the biosynthesis of  
 5 poly(3HB-co-3MP) from 3,3'-thiodipropionic acid or 3-mercaptopropionic acid in *R. eutropha*.

Figure 4 is a schematic of the putative catalytic cycle of the PHA synthase (PhaC) for the incorporation of 3MP into poly(3HB-co-3MP) in *R. eutropha*.

10 Figure 5 is a schematic of the chemical synthesis of 3-mercaptopropionic acid.

Figure 6 is the structural formula of poly(3HPTB-co-3HPThx-co-3HPTO).

### DETAILED DESCRIPTION OF THE INVENTION

15 Abbreviations: PHA, polyhydroxyalkanoate; 3HB, 3-hydroxybutyrate; PHB, Poly(3-hydroxybutyrate); 3MB, 3-mercaptopropionate (as constituent of the polymer); Poly(3HB-co-3MP), Poly(3-hydroxybutyrate-co-3-mercaptopropionate); 3HP, 3-hydroxypropionate; 3MP, 3-Mercaptopropionic acid (as constituent of the polymer); TDP, 3,3'-  
 20 thiodipropionic acid. ATA, alkylthioalkanoic acids; ATCC, American type culture collection; BTV, butylthiovaleric acid; DSM, Deutsche Sammlung für Mikroorganismen und Zellkulturen; 3HD, 3-hydroxydecanoate; 3HDD, 3-hydroxydodecanoate; 3HHp, 3-hydroxyheptanoate; 3HHx, 3-hydroxyhexanoate; 3HN, 3-hydroxynonanoate; 3HO, 3-hydroxyoctanoate;  
 25 3HPTB, 3-hydroxypropylthiobutyrate; 3HPThx, 3-hydroxypropylthiohexanoate; 3HPTO, 3-hydroxypropylthiooctanoate; 3HUD, 3-hydroxyundecanoate; MCL, medium chain length; OTHx, octylthiohexanoic acid; PHA, polyhydroxyalkanoate; poly(3HB), poly(3-hydroxybutyrate); poly(3HATA), poly(3-hydroxyalkylthioalkanoate);  
 30 poly(3HPTA), poly(3-hydroxypropylthioalkanoate); PTB, propylthiobutyric acid; PTE, polythioester; PThx, propylthiohexanoic acid; PTO,

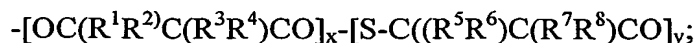


propylthiooctanoic acid; PTP, propylthiopropionic acid; PTUD, propylthioundecanoic acid; SCL, short chain length; TMSD, (trimethylsilyl)diazomethane.

### 1. *Sulfur-Containing PHA Formulas*

5 The PHAs as described herein can be in the form of homopolymers, heteropolymers, block copolymers, or random copolymers. They include sulfur in the form of a thioesters in the polymer backbone or a thioether in the polymer side chain. The polymers can have a formula which has a sulfur atom in the 2-, 3-, or 4- position relative to the carboxylic carbon in its  
10 monomeric unit.

In one embodiment, the molecular weight of the polymers is preferably between 300 and  $10^7$ , and, more preferably, between 10,000 and 10,000,000 Daltons. The PHAs preferably contain one or more units, more preferably between 10 and 100,000 and most preferably between 100 and  
15 30,000 units of the following formula:

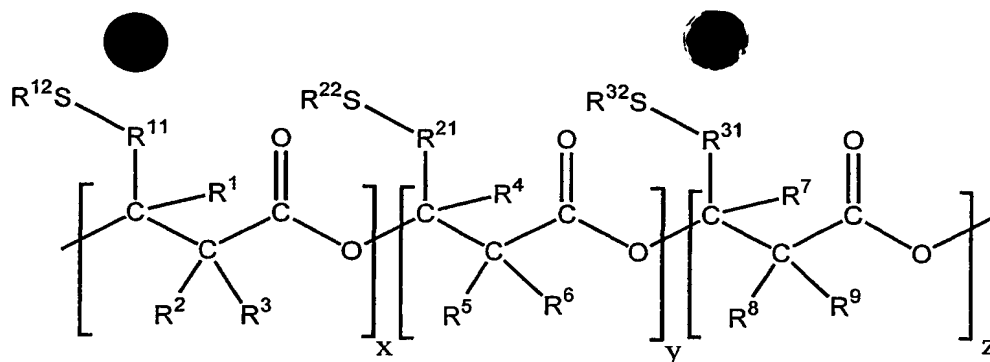


### FORMULA I

20 wherein x and y are an integer, for example, between 1 and 15, preferably between 1 and 4; and

wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ , and  $R^8$  are independently selected from hydrogen, methyl,  $C_{2-15}$  straight, branched or cyclic alkyl, alkenyl or alkynyl groups, alkaryl groups, aralkyl groups, heteroalkyl groups, heteroaryl  
25 groups, hydroxy groups, thiol groups, disulfides, ether groups, thioether groups, ester groups, carboxylic acid groups, amine groups, amide groups, halogens, nitrogen-substituted radicals; and/or oxygen-substituted radicals.

Another preferred form of the PHAs disclosed herein contain one or more units, more preferably between 10 and 100,000 and most preferably  
30 between 100 and 30,000 units of the following formula:



Formula II

wherein x, y and z are integers, for example, 0 and 15, preferably between 0 and 4, with the proviso that  $x + y + z \geq 1$ ;

wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup> and R<sup>9</sup> are independently  
 5 selected from hydrogen, methyl, C<sub>2-15</sub> straight, branched or cyclic alkyl, alkenyl or alkynyl groups, alkaryl groups, aralkyl groups, heteroalkyl groups, heteroaryl groups, hydroxy groups, thiol groups, disulfides, ether groups, thioether groups, ester groups, carboxylic acid groups, amine groups, amide groups, halogens, nitrogen-substituted radicals; and/or oxygen-substituted  
 10 radicals;

wherein R<sup>11</sup>, R<sup>21</sup>, and R<sup>31</sup> are di-radical groups which are independently selected from substituted or unsubstituted methylene, C<sub>2-15</sub> straight, branched or cyclic alkyl, alkenyl or alkynyl groups, alkaryl groups, aralkyl groups, heteroalkyl groups, and heteroaryl groups; and

15 wherein R<sup>12</sup>, R<sup>22</sup>, and R<sup>32</sup> are independently hydrogen, methyl, C<sub>2-15</sub> straight, branched or cyclic alkyl, alkenyl or alkynyl groups, alkaryl groups, aralkyl groups, heteroalkyl groups, and heteroaryl groups.

Suitable monomeric units include 2-, 3-, or 4-hydroxyalkanoic acids, 2-, 3-, or 4-thioalkanoic acids, alkylthio, alkenylthio, alkynylthio, arylthio, or  
 20 cycloalkylthioalkanoic acids. Representative monomeric units include hydroxybutyrate, hydroxyvalerate, hydroxyhexanoate, hydroxyheptanoate, hydroxyoctanoate, hydroxynonanoate, hydroxydecanoate, hydroxyundecanoate, hydroxydodecanoate, 3-mercaptopropionic acid, 3,3'-thiodipropionic acid, propylthioundecanoic acid (PTUD), propylthiooctanoic  
 25 acid (PTO), propylthiohexanoic acid (PTHx), propylthiobutyric acid (PTB),

propylthiopropionic acid (PTP), butylthiovaleric acid (BTV), and octylthiohexanoic acid (OTHx).

Although described herein primarily with reference to the polyhydroxyalkanoate polymers, it is understood that these polymers may be blended with other polymers, and/or co-polymerized with monomers or other polymers to form polyhydroxyalkanoate copolymers.

## 2. *Biosynthetic pathways of sulfur-containing polymers*

PHAs can be synthesized via well documented pathways in the art. For example, PHAs can be synthesized through butyrate fermentation pathway, fatty acid biosynthetic pathway, or fatty acid oxidative metabolic pathway (see, e.g., Nawrath et al., Targeting of the polyhydroxybutyrate biosynthetic pathway to the plastids of *Arabidopsis thaliana* results in high levels of polymer accumulation" in Proc Natl Acad Sci U S A. 91(26):12760-4 (1994)). In the synthesis of sulfur-containing PHAs, the peculiarity of the sulfur chemistry may have some implications in the biosynthetic the PHAs.

### Considerations of the biosynthetic pathway of thioester-containing polymers

As a prerequisite for PHA biosynthesis, the provided carbon source must be transported into the cells, and is subsequently metabolized via central pathways (e.g., fatty acid  $\beta$ -oxidation, citric acid cycle, fatty acid *de novo* synthesis) or special pathways to a hydroxyacyl coenzyme A thioester (Anderson & Dawes, 1990). In the simplest way, a hydroxyalkanoic acid can be directly activated to the corresponding coenzyme A thioester, which serves as substrate for the PHA synthase, the key enzyme of PHA synthesis catalyzing the polymerization reaction. For example, uptake and activation of 3-mercaptopropionic acid to form 3MP-CoA and subsequent incorporation into a sulfur-containing polymer such as poly(3HB-co-3MP) are most likely to occur in *R. eutropha* if 3-mercaptopropionic acid is provided as carbon source (Figure 3). The conversion of 3MP to 3MP-CoA has been shown for example in rat heart mitochondria, where it is catalyzed by the medium-chain

acyl-CoA synthase (Cuebas *et al.*, *J. Biol. Chem.* 260:7330-7336 (1985)). Inhibitory effects on enzymes of the beta-oxidation in mitochondria caused by 3MP-CoA have been described (Sabbagh *et al.*, *J. Biol. Chem.* 260:7337-7342 (1985)), possibly explaining the growth inhibition of *R. eutropha* and  
5 other bacteria due to higher concentrations of 3-mercaptopropionic acid in the media.

Regarding the catalytic mechanism of PHA synthases, the hydroxy group of the constituent, which will be incorporated, provides a free electron pair for the nucleophilic attack at the carbonyl carbon atom of the nascent  
10 polymer chain (Griebel *et al.*, *Biochemistry* 7:3676-3681 (1968); Wodzinska *et al.*, *J. Am. Chem. Soc.* 118:6319-6320 (1996); Müh *et al.*, *Bioche.* 38:826-837 (1999)). A sulfhydryl group in  $\beta$ -position of the substrate also fulfils this prerequisite for the PHA synthase, because 3MB can be incorporated into the polymer resulting in a thioester linkage. Due to the fact that 3MP and 3MB  
15 were identified as polymer constituents, they represent a group of substrates for polymer synthesis catalyzed by PHA synthases:  $\beta$ -mercaptoalkanoic acids (MA), corresponding to the oxygen analogues of  $\beta$ -hydroxyalkanoic acids (HA).

20 **Considerations on the metabolic pathways for thioether-containing polymer**

The substrates, ATAs, are probably activated to the corresponding coenzyme A thioesters by an acyl-CoA synthase or a CoA transferase and are subsequently further catabolized via the fatty acid  $\beta$ -oxidation pathway. Conversion of ATAs (thia fatty acids) to the corresponding CoA thioesters  
25 have been shown to occur for example in rat hepatocysts (Skrede *et al.* 1997). However, due to the thioether bonds, the derivatives can not be completely degraded. For example, PTO is first activated to the corresponding coenzyme A thioester, and the resulting PTO-CoA thioester is then metabolized to 3HPTO-CoA, which was incorporated into PHA  
30 catalyzed by the *P. mendocina* PHA synthase. Furthermore, the next intermediate of the  $\beta$ -oxidation cycle, which is 3-ketopropylthiooctanoic acid, is cleaved by a  $\beta$ -ketothiolase resulting subsequently in the formation

of 3HPTHx-CoA, which was also incorporated into PHAs. The next cycle of the  $\beta$ -oxidation provides then 3HPTB, which was also incorporated.

### 3. Preparation of Sulfur Containing PHAs

Methods which can be used for producing PHA polymers from microorganisms which naturally produce polyhydroxyalkanoates are described in U.S. Patent No. 4,910,145 to Holmes, et al.; Byrom, D., "Miscellaneous Biomaterials," in D. Byrom, Ed., "Biomaterials" MacMillan Publishers, London, 1991, pp. 333-59; Hocking, P.J. and Marchessault, R.H. (1994); Holmes, P.A., "Biologically Produced (R)-3-hydroxyalkanoate Polymers and Copolymers," in D.C. Bassett Ed., "Developments in Crystalline Polymers," Elsevier, London, Vol. 2, 1988, pp. 1-65; Lafferty *et al.*, "Microbial Production of Poly- $\beta$ -hydroxybutyric acid," H.J. Rehm and G. Reed, Eds., "Biotechnology", Verlagsgesellschaft, Weinheim, vol. 66, 1988, pp. 135-76; Müller and Seebach, *Angew. Chem. Int. Ed. Engl.* 32:477-502 (1993).

The key enzymes of PHA synthesis, the PHA synthases, have been studied in detail by many laboratories (Slater *et al.*, 1988; Schubert *et al.*, 1988; Peoples & Sinskey, 1989; Steinbüchel *et al.*, 1992; Rehm & Steinbüchel, *Int. J. Biol. Macromol.* 25:3-19 (1999)) and can be genetically engineered into a diverse group of organisms including both other types of bacteria as well as plants.

Methods for producing PHAs in natural or genetically engineered organisms are described by Steinbüchel, A. "Polyhydroxyalkanoic Acids," in D. Byrom Ed., "Biomaterials", MacMillan Publishers, London, 1991, pp. 123-213; Williams and Peoples, *CHEMTECH*, 26:38-44, (1996); Steinbüchel and Wiese, *Appl. Microbiol. Biotechnol.*, 37:691-97 (1992); U.S. Patent Nos. 5,245,023; 5,250,430; 5,480,794; 5,512,669; 5,534,432 to Peoples and Sinskey; Agostini, D.E. *et al.*, *Polym. Sci.*, Part A-1, 9:2775-87 (1971); Gross, R.A. *et al.*, *Macromolecules*, 21:2657-68 (1988); Dubois, P.I. *et al.*, *Macromolecules*, 26:4407-12 (1993); Le Borgne, A. and Spassky, N., *Polymer*, 30:2312-19 (1989); Tanahashi, N. and Doi, Y., *Macromolecules*, 24:5732-33 (1991); Hori, Y.M. *et al.*, *Macromolecules*, 26:4388-90 (1993);

Kemnitzer, J.E. *et al.*, *Macromolecules*, 26:1221-29 (1993); Hori, Y.M. *et al.*, *Macromolecules*, 26:5533-34 (1993); Hocking, P.J. and Marchessault, R.H., *Polym. Bull.*, 30:163-70 (1993); Xie, W. *et al.*, *Macromolecules*, 30:6997-98 (1997), and U.S. Patent No. 5,563,239 to Hubbs, et al.

5           The PHAs disclosed herein can be prepared from a biological source such as microorganisms which naturally produce PHAs and which can be induced to produce the desired PHAs by manipulation of culture conditions and feedstocks. The PHAs disclosed herein can also be prepared from microorganisms genetically engineered as described herein, or higher  
10   organisms, such as plants, which have been genetically engineered to produce PHAs. The manipulation of culture conditions and feedstocks for the production of PHAs are well within the knowledge in the art. The PHAs may be purified by extraction with or precipitation from aqueous solutions, organic solvents, supercritical fluids, or combinations thereof.

15

#### **Preparation of thioester-containing PHAs**

Thioester-containing PHAs can be prepared by feeding a sulfur-containing carbon source, alone or in combination with another carbon source, to a variety of PHA accumulating bacteria or genetically engineered  
20   organisms. Exemplary genetically engineered organisms are for example bacteria such as *E. coli*, fungi, or plants. Exemplary substrates are thioalkanoic acid, alone or in combination with other carbon source or sources. In one embodiment, the thioalkanoic acid is a 3-thioalkanoic acid. Preferably, the thioalkanoic acid is 3-mercaptopropionate or 3,3'-  
25   Thiodipropionic acid (TDP).

For example, *R. eutropha* synthesizes a copolymer of 3-hydroxybutyrate and 3-mercaptopropionate, poly(3HB3-3MP), when 3-mercaptopropionic acid or 3,3'-thiodipropionic acid is provided as carbon source in addition to fructose or gluconic acid under nitrogen-limited growth  
30   conditions. The thioester linkages can be formed between the thiol groups of 3MP and the carboxyl groups of 3MP or 3HB, respectively. As another example, the *R. eutropha* strain H16 can use 3-hydroxybutyrate and use 3-

mercaptopropionic acid to form a copolyester of 3HB and 3MP, poly(3HB-co-3MP). The structure of poly(3HB-co-3MP) is shown in Figure 1.

#### **Preparation of thioether-containing PHAs**

The preparation of thioether-containing PHAs is readily achieved by feeding a sulfur-containing carbon source, alone or in combination with another carbon source, to a variety of PHA accumulating bacteria or genetically engineered organisms. Exemplary genetically engineered organisms are for example bacteria such as *E. coli*, fungi, or plants. Preferably, the organism is a species of pseudomonades. Exemplary substrates are alkylthioalkanoic acids (ATAs) such as propylthioundecanoic acid (PTUD), propylthiooctanoic acid (PTO), octylthiohexanoic acid (OTHx), butylthiovaleric acid (BTV), or propylthiopropionic acid (PTP) as carbon source. For example, PTUD can be generated by growing *P. putida* KT2440 using PTUD as the sole carbon source. In another example, the metabolically engineered strain of the PHA negative mutant *R. eutropha* PHB<sup>-</sup>4 harboring plasmid pBBR1::*phaC1* expressing the PHA<sub>MCL</sub> synthase from *Pseudomonas mendocina* can be used for forming thioether-containing PHAs.

The biosynthesis of thioether-containing PHAs can be carried out in various scales. For example, *R. eutropha* PHB<sup>-</sup>4 harboring pBBR1::*phaC1* can be cultivated at for example the 26 l-scale using for example a 30 l stirred tank bioreactor. After cultivation of a period such as 45 h, a large amount of the copolyester can be isolated.

#### **4. Applications of PHAs**

The thermoplastic and/or elastomeric features of PHAs allow various applications and uses in industry, e.g. in the packaging industry, medicine, pharmacy, agriculture or food industry with clear advantages of biodegradability and the origin from renewable resources (Hocking & Marchessault, 1994). Recently, polythioesters containing 3MP or other constituents and polyesters containing TDP have been chemically synthesized (Podkoscielny & Podgorski, 1996; Bandiera, et al. *Eur. Pol. J.*

33:1679-1683 (1997); Choi *et al.*, *J. Pol. Sci.* 36:1189-1195 (1998);  
Kameyama *et al.*, *Macromol.* 32, 1407-1412 (1999). The sulfur-containing  
PHAs were reported to be properties which render the polymers suitable for  
preparing polymer electrolytes (Bandiera *et al.*, 1997). Moreover, the sulfur-  
5 containing PHAs can have anti-bacterial, anti-viral, or anti-fungal properties.

The sulfur-containing PHAs allow various applications and uses in  
industry. Representative embodiments of the applications of the sulfur-  
containing PHAs include their uses in the packaging industry, medicine,  
pharmacy, agriculture or food industry.

10 In one preferred embodiment, the sulfur-containing PHAs can be  
used as an anti-bacterial agent, an anti-viral agent, or an anti-fungal agent. In  
one most preferred embodiment, the sulfur-containing PHAs can be used as  
anti-bacterial coatings.

In another preferred embodiment, the sulfur-containing PHAs are  
15 used as electrolytes.

The present invention will be further understood by reference to the  
following non-limiting examples.

### Examples

#### General Experimental Procedures

20 **GC/MS analysis.** The polyester content was determined by  
methanolysis of 5-7 mg lyophilized cells in the presence of sulfuric acid, and  
the resulting methyl esters were characterized by gas chromatography  
(Brandl *et al.*, *Appl. Environ. Microbiol.* 54:1977-1982 (1988)). For  
molecular analysis of the methyl esters, a coupled gas chromatography/mass  
25 spectrometry (GC/MS) was performed using a HP 6890 gas chromatograph  
equipped with a model 5973 mass selective detector (Hewlett Packard,  
Waldbronn, Germany). The obtained mass spectra were compared with the  
NIST '98 Mass Spectral Library with Windows Search Program Version 1.6,  
National Institute of Standards and Technology (U.S. Department of  
30 Commerce).



**Elemental Sulfur Analysis.** Sulfur analysis was performed by the Mikroanalytisches Labor Beller (Göttingen, Germany) according to the method of Grote and Krekeler (DIN 51768).

**Molecular mass analysis.** The molecular masses of purified  
5 polyesters were estimated by gel-permeation chromatography (GPC) relative to polystyrene standards. Analysis was performed on four Styragel columns (HR 3, HR 4, HR 5, HR 6) connected in line in a Waters GPC apparatus (Waters, Milford, USA). Samples were eluted with chloroform at a flow rate of 1.0 ml/min and at 35°C, and the eluted compounds were monitored by a  
10 Waters 410 differential refractometer. Polydispersity and the number average ( $M_N$ ) and weight average ( $M_W$ ) molar masses were calculated by using the Millenium Chromatography Manager GPC software (Waters, Milford, USA).

**Infrared spectroscopic analysis.** The IR-spectra were taken with a  
15 fourier transform spectrometer IFS 28 (Bruker, Bremen/Karlsruhe, Germany). The samples were dissolved in  $\text{CHCl}_3$  and deposited as a film on a sodium chloride disk. Alternatively, a liquid cell with sodium chloride windows (path length 0.5 mm) was used with a chloroform solution of the polymer (2 mg sample/ml).

**Nuclear magnetic resonance spectroscopic analysis.** All NMR  
20 experiments were performed on a Varian Unity Plus 600 spectrometer ( $^1\text{H}$ : 599.14 MHz,  $^{13}\text{C}$ : 150.66 MHz). The  $^1\text{H}$  and  $^{13}\text{C}$  assignments were confirmed through gCOSY (gradient  $^1\text{H}$ ,  $^1\text{H}$ -COSY), 1D TOCSY ( $^1\text{H}$  total correlation spectroscopy with selective excitation), gHSQC ( $^1\text{H}$ ,  $^{13}\text{C}$  gradient  
25 heteronuclear single quantum coherence) and gHMBC ( $^1\text{H}$ ,  $^{13}\text{C}$  gradient heteronuclear multiple bond correlation) spectra.

**Example 1: Bacterial synthesis of a sulfur containing polymer with thioester linkages.**

This example describes the bacterial production of a copolyester  
30 consisting of 3-hydroxybutyrate and 3-mercaptopropionate, poly(3HB-co-3MP). The incorporation of 3MP is catalyzed by an enzymatic reaction resulting in a thioester bond.

## METHODS

**Bacterial strains and culture conditions.** *R. eutropha* H16 (DSM 428) and *R. eutropha* PHB<sup>-</sup>4 (DSM 541) were cultivated in nutrient broth (NB) growth medium or in mineral salts medium (MSM) (Schlegel *et al.*, 5 (1961) *Arch. Mikrobiol.* 38, 209-222) at 30 °C in Erlenmeyer flasks under aerobic conditions on a rotary shaker with 130 strokes/min. In order to promote PHA accumulation, the ammonia concentration was reduced to 0.05 % (w/v). Carbon sources were added from filter-sterilized 20 % (w/v) stock solutions at the concentrations indicated in the text.

10 Fed-batch cultivation of *R. eutropha* H16 at a 26-l scale was done in a stirred (at 200-400 rpm) and aerated (15-20 l min<sup>-1</sup>) 30-l stainless-steel fermenter (Biostat UD30, B. Braun, Biotech International, Melsungen, Germany). Fermentations were carried out in MSM, and the pH was adjusted to 7.0.

15 **Polymer isolation from lyophilized cells.** Poly(3HB) and poly(3HB-co-3MP) were extracted from lyophilized cells with chloroform, filtered, precipitated in 10 volumes of ethanol, and dried under a constant air stream. In order to obtain highly purified polymer, the precipitation procedure was repeated at least threefold.

20 **Infrared spectroscopic analysis.** Infrared spectrum of poly(3HB-co-3MP)  $\nu$  (cm<sup>-1</sup>); film on NaCl disk.

2983 m (CH,CH<sub>2</sub>,CH<sub>3</sub>); 2933 m (CH,CH<sub>2</sub>,CH<sub>3</sub>); 1737 s (ester C=O valence); 1688 (thioester C=O valence); 1380 m; 1302 m; 1260 m (CH<sub>2</sub>-S); 1185 s (ester C-O); 1134 m; 1101 m; 1057 s; 978 m; 760 w; 700 w.

25 **Nuclear magnetic resonance spectroscopic analysis.** The measurements were carried out at 298 K with a sample of 10 mg of the isolated polymer dissolved in 1 ml CDCl<sub>3</sub>. While the three investigated polymer samples (see Table 3) showed very close values for the sets of chemical shifts, there were some variations regarding the amount of incorporated 3MP. Therefore, in the  
30 following the NMR spectroscopic results of one representative polymer originating from the 50 h fed batch fermentation of *R. eutropha* (Table 3) are listed in the order of determined sequence types.

-3HB-3HB:-  $\delta(^1\text{H})$  5.23 (m, 1H, 3-H), 2.58 (dd,  $^2J = 15.5$  Hz,  $^3J_{2,3} = 7.3$  Hz 1H, 2-H), 2.45 (dd,  $^2J = 15.5$  Hz,  $^3J_{2,3} = 6$  Hz, 1H, 2-H'), 1.25 (d,  $^3J_{3,4} = 6.3$  Hz, 3H, 4-H);  $\delta(^{13}\text{C})$  169.1 ( $\text{C}_q$ , C-1, ester), 67.6 (CH, C-3), 40.8 ( $\text{CH}_2$ , C-2), 19.7 ( $\text{CH}_3$ , C-4); gHMBC: 2.58/169.1, 2.45/169.1, 5.23/169.1.

5        -3MP-3MP:-  $\delta(^1\text{H})$  3.13 (m,  $^3J = 7$  Hz, 2H, 3-H), 2.84 (m,  $^3J = 7$  Hz, 2H, 2-H);  $\delta(^{13}\text{C})$  196.7 ( $\text{C}_q$ , C-1, thioester), 43.3 ( $\text{CH}_2$ , C-2), 24.0 ( $\text{CH}_2$ , C-3); gHMBC: 2.84/196.7, 3.13/196.7.

10       -3HB-3MP:-  $\delta(^1\text{H})$  5.27 (m, 1H, 3-H), 2.86 (m, 1H, 2-H), 2.70 (m, 1H, 2-H'), 1.27 (d, 3H, 4-H);  $\delta(^{13}\text{C})$  195.2 ( $\text{C}_q$ , C-1, thioester), 67.8 (CH, C-3), 49.4 ( $\text{CH}_2$ , C-2), 19.8 ( $\text{CH}_3$ , C-4); gHMBC: 2.86/195.2, 2.70/195.2, 5.27/195.2.

15       -3MP-3HB:-  $\delta(^1\text{H})$  3.10 (m, 2H, 3-H), 2.58 (m, 2H, 2-H);  $\delta(^{13}\text{C})$  170.5 ( $\text{C}_q$ , C-1, ester), 34.4 ( $\text{CH}_2$ , C-2), 24.0 ( $\text{CH}_2$ , C-3); gHMBC: 2.58/170.5, 3.10/170.5.

15       -3HP-3HB:-  $\delta(^1\text{H})$  4.30 (m, 2H, 3-H), 2.59 (m, 2H, 2-H);  $\delta(^{13}\text{C})$  60.0 ( $\text{CH}_2$ , C-3), 33.9 ( $\text{CH}_2$ , C-2); gHMBC: 4.30/169.8.

20       -3HP-3MP:-  $\delta(^1\text{H})$  4.35 (m, 2H, 3-H), 2.88 (m, 2H, 2-H);  $\delta(^{13}\text{C})$  195.8 ( $\text{C}_q$ , C-1, thioester), 60.0 ( $\text{CH}_2$ , C-3), 43.7 ( $\text{CH}_2$ , C-2); gHMBC: 2.88/195.8, 4.35/195.8, 4.35/171.3.

## 20        **Results and Discussion**

### **Studies on growth and polymer accumulation by *R. eutropha*.**

      The Gram-negative polyhydroxyalkanoate- (PHA-) accumulating bacterium *R. eutropha* synthesized a copolymer of 3-hydroxybutyrate and 3-mercaptopropionate, poly(3HB3-3MP), when 3-mercaptopropionic acid or  
 25    3,3'-thiodipropionic acid was provided as carbon source in addition to fructose or gluconic acid under nitrogen-limited growth conditions. The peculiarity of this polymer was the occurrence of thioester linkages derived from the thiol groups of 3MP and the carboxyl groups of 3MP or 3HB, respectively, which occurred besides the common oxoester bonds of PHAs.  
 30    Depending on the cultivation conditions and the feeding regime, poly(3HB-co-3MP) contributed up to 19 % of the cellular dry weight, with a molar fraction of 3MP of up to 43 %. The chemical structure of poly(3HB-co-3MP)

was confirmed by gas chromatography/mass spectrometry, infrared spectroscopy,  $^1\text{H}$ - and  $^{13}\text{C}$ -nuclear magnetic resonance spectroscopy, and elemental sulfur analysis.

Although *R. eutropha* strain H16 is not able to use 3-mercaptopropionic acid or 3,3'-thiodipropionic acid (TDP) as sole carbon sources for growth, a copolyester of 3HB and 3MP, poly(3HB-co-3MP) (Figure 1), was synthesized when these substances were provided in addition to fructose or gluconic acid under nitrogen limitation. The PHB-negative mutant PHB<sup>-</sup>4 of strain H16 did not synthesize a polymer containing 3MP.

10

**Table 3. Characterization of poly(3HB-co-3MP) obtained from different fermentation of *R. eutropha*\***

Cultivation conditions: Type and duration of fermentation and media additives	A	B	C	D
Batch culture, 96 h 0.05 % $\text{NH}_4\text{Cl}$ , 0.2 % fructose, 0.2 % TDP	19.2%	9.96%	26.9 mol%	490.000
Fed batch culture, 50 h 0.01 % $\text{NH}_4\text{Cl}$ , 0.4 % gluconic acid, 0.2 % TDP, each 0.2% TDP were additionally added after 9 h, 15 h and 32 h	9.2	12.88	34.9	1,120.000
Batch culture, 96 h 0.05 % $\text{NH}_4\text{Cl}$ , 0.3 % gluconic acid, 0.1 % 3 MP	11.4	15.67	42.5	790.000

\**R. eutropha* strain H16 was cultivated at 30 °C in MSM. The polymer yield referring to the cellular dry weight was determined by gas chromatography (Brandl et al., 1988). The element sulfur was analyzed by the method of Grote and Kerkeler (DIN 51768), and the derived molar fraction of 3MP was calculated. The weight-average molecular weight were estimated by gel-permeation chromatography (GPC relative to polystyrene standards). Abbreviations: 3MP, 3-mercaptopropionic acid; TDP, 3,3'-thiodipropionic acid; CDW, cellular dry weight;  $M_w$ , weight-average molecular weight.

A: polymer content [w/w, of CDW]; B: sulfur content of the polymer; C: 3MP content of the polymer; D: weight average molecular weight of the polymer [g/mol].

Table 3 summarizes the culture conditions, sulfur contents, polymer yields, molar fractions of 3MP, and the molecular masses of three different batches of the polymer. Depending on the culture conditions, the polymer content of the cells varied from 9.2 to 19.2 % (w/w). Usually, *R. eutropha* accumulates poly(3HB) when the provided carbon source is directly metabolized to acetyl-CoA, and under certain conditions the polymer content

can contribute up to 96 % of the cellular dry weight (Pedrós-Alio *et al.*, (1985) *Arch. Microbiol.* 143, 178-184). In this study, a decrease of total poly(3HB-co-3MP) content was observed simultaneously with an increase in the molar 3MP fraction when the utilizable carbon source was restricted.

- 5 Thus, a molar fraction of 3MP of 42.5 mol% was obtained during batch cultivation of *R. eutropha* with nitrogen- and gluconic acid limitation in the presence of 0.1 % (w/v) 3-mercaptopropionic acid.

Growth experiments employing mineral salts medium containing 3-mercaptopropionic acid or TDP plus a carbon source that is readily utilized  
10 such as gluconic acid, revealed that 3-mercaptopropionic acid at concentrations higher than 0.1 % (w/v) in the medium impaired the growth of *R. eutropha* and other bacteria. In contrast to 3-mercaptopropionic acid, TDP did not exert any toxic effects on the growth of *R. eutropha* up to concentrations of 1.5 % (w/v).

- 15 **Chemical analysis of poly(3HB-co-3MP).** Gas chromatographic (GC) analyses of cells from *R. eutropha* cultivated with 3-mercaptopropionic acid and TDP in addition to fructose or gluconic acid under conditions promoting PHA accumulation, showed peaks with a retention time of  $R_T = 8.88$  min, in addition to the 3HB-methylester at a  $R_T$  of 9.55 min.  
20 Consequently, the polymer was isolated from the cells and highly purified. All subsequent analyses were performed with the purified polyester. Three batches of purified polymer obtained from three different fermentations (Table 3) were analyzed. The peaks were analyzed by mass spectrometry (MS), and the 3MP-methylester was identified by the isotope pattern.  
25 Comparison with the NIST-data base confirmed the identification of the 3MP-methylester as an acid methanolysis product of poly(3HB-co-3MP).

In order to determine the precise molar fraction of 3MP in the polymer, elemental sulfur analysis of the isolated and purified poly(3HB-co-3MP) was carried out. The total sulfur content varied from 9.96 to 15.67 %  
30 (w/w), thus the calculated 3MP content varied from 26.9 to 42.5 mol% in the three polymer batches.

**Molecular weight analyses of poly(3HB-co-3MP).** The molecular weights of the isolated polymers were determined by gel-permeation chromatography (GPC) relative to polystyrene standards. The weight average molar masses ( $M_w$ ) of poly(3HB-co-3MP) varied from 0.49 to 5  $1.15 \times 10^6$  g/mol with polydispersity indices ( $M_w/M_n$ ) ranging from 4.2 to 7.0. Compared with the homopolymer poly(3HB) synthesized by *R. eutropha* from gluconic acid under conditions permissive for PHA accumulation, the molecular weights of poly(3HB-co-3MP) correlated with those of poly(3HB) reported by Rehm & Steinbüchel (Rehm & Steinbüchel, 10 *Int. J. Biol. Macromol.* 25:3-19 (1999)).

**Infrared spectroscopic analysis of poly(3HB-co-3MP).** The IR-spectrum reflects both monomeric units. All absorptions due to the PHB moiety appeared in the spectrum, and in addition a strong absorption band at 1688  $\text{cm}^{-1}$  was detected as it is expected for the C=O valence vibration of a 15 thioester bond (Colthup *et al.*, 1964). The intensity of this band was proportional to the sulfur content, which was determined by elemental analysis.

The IR spectroscopic analysis gave further insights into the chemical structure without hydrolysis of the polymer. Poly(3HB-co-3MP) is the first 20 example of a polymer in which the linkage between the constituents is modified: the -3HB-3MP- and -3MP-3MP- are linked by thioester bonds that occur in addition to the oxoester linkages of -3MP-3HB- and -3HB-3HB-.

**Nuclear magnetic resonance spectroscopic analysis of poly(3HB-co-3MP).** The 600 MHz  $^1\text{H}$  NMR spectrum of a typical poly(3HB-co-3MP) 25 sample was analyzed. The fraction of 3MP present in the polymer was determined by integration of the 3-H signals of 3HB and 3MP resonating at  $\delta$  5.23 and 3.23, respectively. The calculated incorporation rate of 34 % is in good agreement with the 34.9 % determined by elemental sulfur analysis for this polymer sample (Table 3). In addition, traces of 3-hydroxypropionic acid 30 (3HP) resonating at  $\delta$  4.30 were detected (see below).

In principle, there are four possibilities of combining the monomers.

The homopolymer regions of 3HB and 3MP offer a relatively homogeneous environment. Accordingly, such sequences lead to sharp and intense signals in the  $^1\text{H}$ - and  $^{13}\text{C}$ -NMR spectra. The observed chemical shifts in the homopolymer regions of 3HB are in good agreement with the signal assignment of poly(3HB-co-3HP), while the assignment of the homopolymer region of 3MP was done in comparison with the methylester of 3MP.

In addition to these two types of linkages, the signals of -3HB-3MP- and -3MP-3HB- containing thioester and ester bonds, respectively, can also be expected in the isolated polymer. These linkages can be traced by  $^1\text{H}$ ,  $^{13}\text{C}$  long-range correlation spectra. The gHMBC-spectrum showed correlation signals between the protons of 3HB and the C-1 of the thioester, and of 3MP and C-1 of the ester. Compared with the signals of the homopolymer regions of 3HB and 3MP, these signals were of lower intensity. Because of signal overlap the exact molar relation between the homo- and the copolymer regions could not be determined. However, definitive signal assignment could be achieved through 1D TOCSY experiments by selective excitation at various resonance frequencies. In addition to these four main chain sequences, indications for the presence of small amounts of -3HP-3HB- and -3HP-3MP- were found. Although traces of 3HP were detected by NMR spectroscopic analyses, GC/MS analyses did not reveal any occurrence of 3HP or other constituents in the purified polymers.

TDP should be cleaved into 3MP and 3HP (Figure 3), because 3MP amounted up to 34.9 mol% of the constituents of the polymer obtained during fed batch cultivation of *R. eutropha* with nitrogen- and gluconic acid limitation in the presence of an excess of TDP. 3MP and 3HP are subsequently converted to the corresponding CoA thioesters. 3HP was identified previously as a constituent of PHAs synthesized by *R. eutropha* (Nakamura *et al.*, *Macromol. Rep. A28*, 15-24 (1991)).

3MP-CoA can be used as substrate by the PHA synthase. Surprisingly, the PHA synthase is able to catalyze the formation of both oxoester and thioester bonds. The PHB-negative mutant *R. eutropha* PHB<sup>-</sup>4 was incapable of synthesizing poly(3HB-co-3MP), confirming the

involvement of PHA synthase. The proposed catalytic mechanism of the PHA synthase in *R. eutropha* involves two thiol groups which derive from two cystein residues of the enzyme subunits (PhaC) forming a homodimer (Müh *et al.*, 1999; Rehm & Steinbüchel, 1999) (Figure 4). These thiol groups covalently bind the growing polyester chain, and the constituent that then will be incorporated during the next turn of the cycle. A nucleophilic attack of the free electron pair of the hydroxy group of the latter at the carbonyl carbon atom of the nascent polymer is suggested. The sulfhydryl group of 3MP is also providing a free electron pair for this nucleophilic attack, and 3MP is incorporated resulting in the formation of a thioester (Figure 4).

Though rather little is known about the degradation of the sulfur-containing substances TDP and 3-mercaptopropionic acid, a biological conversion into intermediates of central pathways is very likely to occur because 3-mercaptopropionic acid is not a xenobiotic and is occurring naturally.

#### **Example 2: Synthesis and Analysis of poly(3HB-co-3MB)**

##### **METHODS AND MATERIALS**

**Bacterial strains and culture conditions.** *R. eutropha* H16 (DSM 428) and *R. eutropha* PHB<sup>-</sup>4 (DSM 541) were cultivated in nutrient broth (NB) growth medium or in mineral salts medium (MSM) (Schlegel, 1961, supra) at 30°C in Erlenmeyer flasks under aerobic conditions on a rotary shaker with 130 strokes/min. In order to promote PHA accumulation, the ammonia concentration was reduced to 0.05 % (w/v). Carbon sources were added from filter-sterilized stock solutions at the concentrations indicated in the text.

**Synthesis of 3-mercaptobutyric acid.** According to Schjanberg (1941) 3-mercaptobutyric acid was synthesized from crotonic acid and thioacetic acid via 3-acetylmercaptobutyric acid (Figure 1) by dissolving 86 g crotonic acid in 500 ml H<sub>2</sub>O and adding 76 ml thioacetic acid. The reaction mixture was stirred for 24 h at 25°C and subsequently 6 h at 50°C. For alkaline hydrolysis, the obtained 3-acetylmercaptobutyric acid was treated



with 50% (v/v) NaOH for 24 h. Finally, the pH was adjusted to 7.0. The concentration of the obtained 3-mercaptopbutyric acid stock solution, which also contained equimolar amounts of acetic acid, was assumed to be 1.4 M, although an exact quantification was not possible, yet. The successful  
5 synthesis of 3-mercaptopbutyric acid was confirmed by GC/MS.

**Polymer isolation from lyophilized cells.** Poly(3HB) and poly(3HB-co-3MB) were extracted from lyophilized cells with chloroform, filtered, precipitated in 10 volumes of ethanol, and dried under a constant stream of air. In order to obtain highly purified polymer samples, the precipitation  
10 procedure was repeated at least threefold.

**Infrared spectroscopic analysis.** Infrared spectrum of poly(3HB-co-3MB)  $\nu$  ( $\text{cm}^{-1}$ ); film on NaCl disk. 2983 m (CH,CH<sub>2</sub>,CH<sub>3</sub>); 2933 m (CH,CH<sub>2</sub>,CH<sub>3</sub>); 1724 s (ester C=O valence); 1687 (thioester C=O valence); 1380 m; 1302 m; 1260 m (CH<sub>2</sub>-S); 1185 s (ester C-O); 1134 m; 1101 m;  
15 1057 s; 978 m; 760 w; 700 w.

## RESULTS

**Synthesis of poly(3HB-co-3MB) by *R. eutropha*.** In this study, 3-mercaptopbutyric acid (Figure 5) was synthesized and used this substance as substrate for cultivation experiments with *R. eutropha* under conditions  
20 promoting PHA accumulation. *R. eutropha* was not able to use 3-mercaptopbutyric acid as sole carbon source for growth; thus, gluconate was provided as an utilizable carbon source. Cells of *R. eutropha* strain H16 were cultivated in mineral salts medium in the presence of 0.2 % (w/v) gluconate plus 50 mM 3-mercaptopbutyric acid and analyzed gas chromatographically  
25 (GC). The total polymer yield contributed up to 31 % of the cellular dry weight. The GC pattern of the isolated polymer revealed besides the 3HB methyl ester peak with a retention time of  $R_T = 9.41$  min, an additional peak with a retention time of  $R_T = 8.29$  min. The peaks were analyzed by mass spectrometry (MS), and the 3MB methyl ester was clearly indicated by the  
30 isotope pattern.

**Characterization of poly(3HB-co-3MB).** The occurrence of sulfur in this polymer was further confirmed by elemental analysis. The total sulfur

content of the polymer amounted 11.65 % (w/w), thus the molar fraction of 3MB was calculated as 33.24 mol%.

According to the results of the performed analyses, and in consideration of the provided substrate, a copolymer was detected:

- 5 poly(3HB-co-3MB) (Figure 2). The main features of poly(3HB-co-3MB) are summarized in Table 2.

**Table 2. Characterization of poly(3HB-co-3MB) synthesized by *R. eutropha*\***

Provided carbon sources	A	B	C	D	E
0.2% (w/v) sodium gluconate plus 50 mM 3-Mercaptobutyrate acid	31.3%	11.65%	33.2 mol%	790.000	2.05

- 10 \**R. eutropha* strain H16 was cultivated for 48 h at 30 °C in MSM (Schlegel *et al.*, 1961). The polymer yield referring to the cellular dry weight was determined by gas chromatography (Brandl *et al.*, 1988). The element sulfur was analyzed by the method of Grote and Kerkeler (DIN 51768), and the derived molar fraction of 3MB was calculated. The weight-average molecular weight and polydispersity ( $M_w/M_n$ ) of the polymer were estimated by gel-permeation chromatography (GPC relative to polystyrene standards. Abbreviations: 3MB, 3-mercaptobutyrate; CDW, cellular dry weight;  $M_w$ , weight-average molecular weight;  $M_n$ , number-average molecular weight.

- 15 A: polymer content [w/w, of CDW]; B: sulfur content of the polymer; C: 3MB content of the polymer; D: weight average molecular weight of the polymer [g/mol]; E polydispersity of ( $M_w/M_n$ ) the polymer.

- 20 The supposed thioester linkages between the thiol groups of 3MB and the carboxyl groups of 3HB or 3MB, respectively, were proven by infrared (IR) spectroscopy. The obtained IR spectrum revealed in comparison to the spectrum of poly(3HB) an absorption band at  $1687\text{ cm}^{-1}$ , as it is expected for the carbonyl vibration of a thioester bond (Colthup *et al.*, (1964) Introduction to Infrared and Raman Spectroscopy. Academic Press NY, London).

- 25 The chemical structure of poly(3HB-co-3MB) was also analyzed by nuclear magnetic resonance spectroscopy confirming the suggested structure of the polymer as shown in Figure 2.

- 30 **EXAMPLE 3: Synthesis of poly(3-hydroxy-S-propyl- $\omega$ -thioalkanoates)**

## MATERIALS AND METHODS

- Bacterial strains and culture conditions.** The PHA-negative mutant *R. eutropha* PHB<sup>-</sup>4 (DSM 541) and a recombinant strain of *R. eutropha* PHB<sup>-</sup>4 harbouring plasmid pBBR1::phaC1 expressing the PHA synthase 1 of *Pseudomonas mendocina*, as well as *Pseudomonas putida* KT2440 (Worsey

& Williams, "Metabolism of toluene and xylenes by *Pseudomonas putida* (arvilla) mt-2: evidence for a new function of the TOL plasmid" in *J Bacteriol* 124:7-13 (1975)), *P. aeruginosa* PAO1 (ATCC 15692), *P. oleovorans* (ATCC 29347), and *P. mendocina* (DSM 50017) were used.

- 5 Cultivations were performed in nutrient broth (NB) medium or mineral salts medium (MSM) according to Schlegel (1961), supra, to which different alkylthioalkanoic acids were added as carbon sources from filter-sterilized 10 % (w/v) stock solutions at the concentrations indicated in the text. Fed batch cultivations were carried out under aerobic conditions at 30 °C in 2 l
- 10 Erlenmeyer flasks containing 500 ml of medium which were agitated at 130 r.p.m. In order to promote PHA accumulation, the ammonia concentration was reduced to 0.05 % (w/v) in MSM.

- Fed-batch cultivation of *R. eutropha* PHB<sup>+</sup>4 harboring plasmid pBBR1::phaC1 was done on a 26-l scale in a stirred (at 50-400 r.p.m) and
- 15 aerated ( $15-20 \text{ l} \times \text{min}^{-1}$ ) 30 l stainless-steel fermenter (Biostat UD30, B. Braun, Biotech International). The fermentation was carried out in MSM. The temperature and the pH were automatically controlled at 30 °C and 7.0, respectively; the airflow rate and the stirring speed were 1.0 vvm and 400 r.p.m, respectively. Cell growth was monitored spectrometrically at 600 and
- 20 850 nm. The MSM contained 1.0 % (w/v) sodium gluconate and 300 mg/l kanamycine from the beginning. Sodium gluconate and ammonium chloride were fed additionally according to the respective growth parameters. Propylthiooctanoic acid was added at the end of the exponential growth phase successively in portions of each 0.05 % (w/v) from a filter sterilized
- 25 stock solution to a final concentration of 0.8 % (w/v). At the end of the cultivation experiment, the cells were harvested by centrifugation and lyophilized.

#### Chemical synthesis of alkylthioalkanoic acids.

- Propylthioundecanoic acid (PTUD), propylthiooctanoic acid (PTO),
- 30 propylthiohexanoic acid (PTHx), propylthiobutyric acid (PTB), propylthiopropionic acid (PTP), butylthiovaleric acid (BTV), and octylthiohexanoic acid (OTHx) were chemically synthesized as described

previously from alkylthiol and  $\omega$ -bromoalkanoic acids (Skrede et al, "Thia fatty acids, metabolism and metabolic effects" in *Biochim Biophys Acta* 1344:115-31 (1997)). Propanethiol, butanethiol, octanethiol, 11-bromoundecanoic acid, 8-bromooctanoic acid, 6-bromohexanoic acid, 5-bromovaleric acid, 4-bromobutyric acid, and 3-bromopropionic acid were purchased from Sigma chemical company (Deisenhofen, Germany).

**Isolation of PHAS.** PHAs were extracted from lyophilized cells with hot chloroform using a Soxhlet apparatus. The extracted crude PHAs were precipitated in 10 volumes of cold methanol. In order to obtain highly purified polymer samples for chemical analyses, the precipitation from a solution in chloroform was repeated at least four times. The purified polymer was finally dried under a constant air stream.

**Partial pyrolysis of polymers.** Identification of the constituents of PHAs was also performed by partial pyrolysis. For this, 5 mg of isolated polymer were transferred to the bottom of a small glass tube, which was flushed with argon and subsequently evacuated to 1 mbar. The lower part of the tube was then heated to approximately 500 °C until the polymer was completely degraded. Decomposition products condensed in the upper part of the glass tube. After adjusting the temperature to room temperature, the products were dissolved in 2 ml methanol/chloroform (1/1). A part of this solution was used as it was for ESI-MS, the other was esterified for GC/MS with TMSD.

**ESI-MS and ESI-MS/MS analysis.** This soft ionization method was used to characterize the oligomers formed by pyrolysis of the polymers. All measurements were done on a Quattro LCZ (Micromass) with nanospray inlet.

**Infrared spectroscopic analysis.** Infrared spectrum of poly(3HPTA)  $\nu$  (cm<sup>-1</sup>); film on NaCl disk: 2983 m(medium) (CH,CH<sub>2</sub>,CH<sub>3</sub>); 2933 m (CH,CH<sub>2</sub>,CH<sub>3</sub>); 1741 s(strong) (ester C=O valence); 1380 m; 1302 m; 1185 s (ester C-O); 1134 m; 1101 m; 1057 s; 978 m; 760 w(weak); 700 w.

## RESULTS

**Growth and PHA accumulation of bacteria on alkylthioalkanoic acids.** To evaluate the capabilities of various pseudomonades to use alkylthioalkanoic acids (ATAs) as carbon and energy sources for the

5 synthesis of sulfur containing PHAs, growth experiments were carried out employing MSM agar plates which contained propylthioundecanoic acid (PTUD), propylthiooctanoic acid (PTO), octylthiohexanoic acid (OTHx), butylthiovaleric acid (BTV), or propylthiopropionic acid (PTP) as carbon sources. Table 4 summarizes the results, revealing that all *Pseudomonas*  
10 strains investigated in this study were able to use PTUD, PTO, OTHx and BTV as sole carbon sources.

**Table 4. Growth of different *Pseudomonas* species on MSM agar plates containing different ATAs as sole carbon sources.**

	Carbon source				
	A	B	C	D	E
<i>P. putida</i>	+ *	+	++	+ *	-
<i>P. mendocina</i>	++ *	++	++	+ *	-
<i>P. aeruginosa</i>	++ *	+	++	+ *	-
<i>P. oleovorans</i>	++ *	+	++	+ *	-

15 +, growth; ++, good growth; -, no growth; \*, thiol odor detected. Abbreviations: PTUD, propylthioundecanoic acid; PTO, propylthiooctanoic acid; OTHx, octylthiohexanoic acid; BTV, butylthiovaleric acid; PTP, propylthiopropionic acid. Conditions: A, 0.4% (w/v) PTUD; B, 0.4% (w/v) PTO; C, 0.6% (w/v) OTHx; D, 0.3% (w/v) BTV; 0.2% (w/v) PTP.

20 Good growth occurred with PTUD and OTHx at concentrations up to 1 % (w/v) in the medium, whereas PTO and BTV impaired cell growth if provided at concentrations higher than 0.8 % or 0.4 % (w/v), respectively. Moreover, a characteristic thiol smell was detected during cultivation of the bacteria on PTUD or BTV, respectively. In contrast, PTP was not used by any bacterium for growth.

25 GC analyses of cells of *P. mendocina*, *P. aeruginosa*, and *P. oleovorans* did not provide evidence that the cells had synthesized PHAs

containing unusual constituents after cultivation in the presence of ATAs, including the addition of various cosubstrates. The PHA<sub>MCL</sub> accumulated by these bacteria consisted mainly of 3-hydroxyhexanoate (3HHx), 3-hydroxyheptanoate (3HHp), 3-hydroxyoctanoate (3HO), 3-hydroxynonanoate (3HN), 3-hydroxydecanoate (3HD), and 3-hydroxydodecanoate (3HDD) depending on the cultivation conditions.

#### **PHA accumulation of *P. putida* KT2440 in the presence of PTUD.**

The growth of *P. putida* KT2440 was significantly lower in comparison to other pseudomonades when PTUD was provided as sole carbon source (Table 4). *P. putida* KT2440 was the only *Pseudomonas* species investigated in this study. GC analysis revealed an unusual composition of PHAs after cultivation in MSM containing 0.35 % (w/v) PTUD and equimolar amounts of nonanoic acid (v/v). An elemental sulfur analysis was done for the isolated polymer. It revealed a content of 6.02 % (w/w) sulfur. Besides 3HHp, 3HN, and small amounts of 3HO, 3HD, 3HUD, and 3HDD, respectively, a significant amount of different sulfur containing constituents were detected by gas chromatography.

**Biosynthesis of poly(3-hydroxypropylthioalkanoate), poly(3HPTA), by a recombinant strain of *R. eutropha*.** The metabolically engineered strain of the PHA negative mutant *R. eutropha* PHB<sup>-</sup>4 harboring plasmid pBBR1::phaC1 expressing the PHA<sub>MCL</sub> synthase from *Pseudomonas mendocina* was used for further growth and PHA accumulation studies. ATAs were used as carbon sources.

Since growth of *R. eutropha* PHB<sup>-</sup>4 harboring pBBR1::phaC1 in MSM containing PTO as the sole carbon source was very poor, cultivations were carried out in complex medium (NB) or in MSM containing sodium gluconate as second utilizable carbon source in addition to PTO. In the presence of PTO in the medium, *R. eutropha* PHB<sup>-</sup>4 pBBR1::phaC1 synthesized a hitherto unknown polyester revealing exclusively 3-hydroxypropylthiobutyrate, 3-hydroxypropylthiohexanoate, and 3-hydroxypropylthiooctanoate as polymer constituents, poly(3HPTB-co-

3HPTHx-co-3HPTO). The cultivation conditions, PHA contents of the cells, sulfur contents of the polymers as well as the molecular masses and polydispersity indices of the isolated poly(3HPTA)s obtained from four different batches, are shown in Table 5.

5 In order to test whether larger amounts of poly(3HPTB-co-3HPTHx-co-3HPTO) can be produced biotechnologically, and to obtain sufficient amounts for detailed analysis, *R. eutropha* PHB<sup>-</sup>4 harboring pBBR1::phaC1 was cultivated at the 26 l-scale using a 30 l stirred tank bioreactor. After 45 h cultivation, 190 g cellular dry mass was obtained from which 45 g of the  
10 copolyester were isolated.

**Table 5. Characterization of poly(3HPTO-co-3HPTHx-co-3HPTB) obtained from different cultures of *R. eutropha* PHB<sup>-</sup>4 harboring pBBR1::phaC1\***

Cultivation conditions	A	B	C	D
Batch culture, 72 h NB + 0.15 % (w/v) PTO	43	16.80	105,819	2.3
Batch culture, 72 h NB + 0.55 % (w/v) PTO	43	17.48	110,677	2.5
Batch culture, 72 h MSM + 1 % (w/v) sodium gluconate + 0.55 % (w/v) PTO	34	16.62	135,000	2.1
Fed batch culture, 45 h MSM + 1 % (w/v) sodium gluconate + 16 × 0.05 % (overall 0.8 %, w/v) PTO	24	14.52	102,604	2.4

15 \* Cultivations were done at 30 °C in 2 l Erlenmeyer flasks or in a bioreactor as described in the text. The polymer yield referring to the cellular dry weight was determined gravimetrically. The elemental sulfur content was analyzed by the method of Grote and Kerkeler (DIN 51768). The molecular weight ( $M_w$ ) and polydispersity ( $M_w/M_n$ ) of the polymers were estimated by gel permeation chromatography (GPC) relative to polystyrene standards.

20 Abbreviations: NB, nutrient broth; MSM, mineral salts medium; CDW, cellular dry weight; PTO, propylthiooctanoic acid.

A: polymer content [w/w, of CDW]; B: sulfur content of the polymer; C: 3MB content of the polymer; D: weight average molecular weight of the polymer [g/mol]; E polydispersity of ( $M_w/M_n$ ) the polymer.

25

#### **Chemical analysis of poly(3HPTB-co-3HPTHx-co-3HPTO).**

Infrared (IR) spectroscopic analysis of the purified polymer samples revealed a strong absorption band at 1741 cm<sup>-1</sup>, as it is expected for the carbonyl vibration of an ester bond, indicating polymerized constituents with carboxyl  
30 and hydroxyl functional groups. The presence of a thioether group cannot be

clearly deduced from the IR spectrum, because the  $\text{CH}_2\text{S}$ -frequency is hidden in the complex fingerprint region.

Polymer samples were also analyzed by nuclear magnetic resonance (NMR) spectroscopy, indicating propyl residues.

5        After pyrolysis of the polymer and subsequent methylation of the pyrolysis mixture with TMSD, three different monomeric *S*-propyl- $\omega$ -thioalkenoic acids were identified by GC/MS. The double bond was formed by the elimination of the adjacent fatty acid within the decomposition process.

10        The mass spectra were in good agreement with the expected structures. All spectra showed the loss of a methoxy radical and/or methanol indicating the presence of an ester group, and loss of propanethial and/or propylthio radical corresponding to the thiopropyl substituent. Furthermore, the presence of sulfur was clearly indicated by the characteristic isotope  
15        pattern.

Negative ion ESI/MS showed groups of oligomers formed by combinations of 3HPTB, 3HPTH<sub>x</sub>, and 3HPTO. ESI/MS/MS of the pseudomolecular ions suggested that the monomers were randomly combined (Table 6). The fragmentation of the trimer *m/z* 535 is given as an  
20        example. The loss of the three possible monomers (as their corresponding olefins) with similar intensities gave proof that the trimer was a mixture of isomers with 3HPTB-, 3HPTH<sub>x</sub>-, and 3HPTO-terminations. Mass spectrometric analyses of oligomers (see above) and calculations on the basis of data from elemental sulfur analyses indicated nearly equimolar amounts of  
25        3HPTB, 3HPTH<sub>x</sub>, and 3HPTO.



Table 6. Some representative examples of substructures of poly(3HPTO-co-3HPThx-co-3HPTB) according to negative ion ESI/MS and ESI/MS/MS analyses\*

Peak [ <i>m/z</i> ] [ <i>M</i> -H-H <sub>2</sub> O] <sup>-</sup>	DP	Possible substructures
159	1	3HPTB
187	1	3HPThx
215	1	3HPTO
319	2	(3HPTB-3HPTB)
375	2	(3HPTO-3HPTB); (3HPTB-3HPTO); (3HPThx-3HPThx)
535	3	(3HPTO-3HPTB-3HPTB); (3HPTB-3HPTO-3HPTB); (3HPTB-3HPTB-3HPTO); (3HPThx-3HPThx-3HPTB); (3HPThx-3HPTB-3HPThx); (3HPTB-3HPThx-3HPThx)

\*Abbreviations: *M*, molecular weight of uncharged molecule; *DP*, degree of polymerization; 3HPTB, 3-hydroxypropylthiobutyrate; 3HPThx, 3-hydroxypropylthiohexanoate; 3HPTO, 3-hydroxypropylthiooctanoate.

5

## DISCUSSION

**Biosynthesis of a type of PHA bearing thioether groups.** Using a metabolically engineered bacterium, biosynthesis of a sulfur containing PHA was achieved. This PHA consisted of 3-hydroxy-S-propyl- $\omega$ -thioalkanoates, and the structural formula of poly(3HPTB-co-3HPThx-co-3HPTO) is shown in Figure 6. The occurrence of thioether groups in the side chains reveals possibilities for chemical modifications or crosslinking of the PHA molecules, and provides putative technical applications of these biopolymers, e.g. in medicine and/or pharmacy.

15

If pseudomonades were cultivated with ATAs, a smell characteristic for alkylthiols occurred which contained an odd number of carbon atoms in the carboxylic acid part of the molecule such as PTUD or BTV. This indicates a spontaneously occurring cleavage of the 3-hydroxy-S-alkyl- $\omega$ -thiopropionyl-CoA into malonic acid semialdehyde CoA and the alkylthiol, whose further metabolic fate remains to be elucidated (Skrede et al. 1997).

20

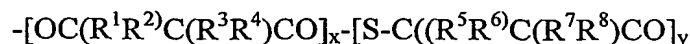
In contrast, ATAs containing even numbers of carbon atoms in the carboxylic acid part of the molecule are presumably catabolized via the  $\beta$ -

oxidation route to acetyl-CoA until the sulfur atom prevents another  $\beta$ -oxidation cycle. According to Skrede et al. (1997), the sulfur is metabolized to a sulfoxide, and further oxidation from the  $\omega$ -end is most likely to occur.

- The PHA-negative strain of *R. eutropha* PHB<sup>-</sup>4 was not able to
- 5 synthesize poly(3HPTO-co-3HP<sub>THx</sub>-co-3HPTB), confirming that a PHA synthase is involved in the synthesis of the PHAs. Since this strain is also not able to synthesize any other PHA, a recombinant strain of PHB<sup>-</sup>4 expressing the type-II PHA synthase of *P. mendocina*, which exhibited a broad substrate range like PHA<sub>MCL</sub> synthases of other pseudomonades, was chosen as
- 10 candidate for the production of these PHAs. The broad substrate range of PHA synthases and in particular of type-II PHA synthases for substrates of different carbon chain length, which allows also the incorporation of hydroxyalkanoic acids containing various substituents at the alkyl moiety, is well known (Steinbüchel & Valentin, "Diversity of microbial
- 15 polyhydroxyalkanoic acids" in *FEMS Microbiol Lett* 128:219-228 (1995))

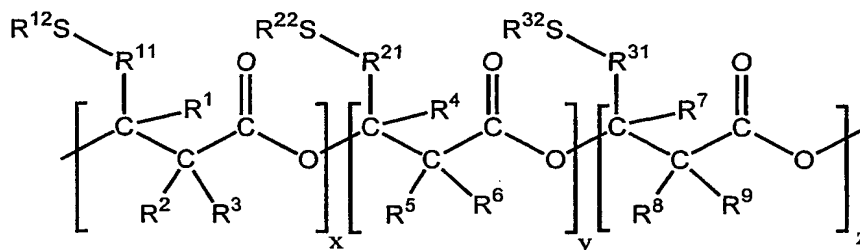
We claim:

1. A biopolymer comprising thioester or thioether linkages and having monomeric units of the formula selected from the group consisting of:



**FORMULA I**

and



**FORMULA II**

wherein, if the monomeric unit is one of formula I,

x and y are integers which are independently between 1 and 15; and

$R^1, R^2, R^3, R^4, R^5, R^6, R^7,$  and  $R^8$  are independently selected from hydrogen, methyl,  $C_{2-15}$  straight, branched or cyclic alkyl, alkenyl or alkynyl groups, alkaryl groups, aralkyl groups, heteroalkyl groups, heteroaryl groups, hydroxy groups, thiol groups, disulfides, ether groups, thioether groups, ester groups, carboxylic acid groups, amine groups, amide groups, halogens, nitrogen-substituted radicals; and/or oxygen-substituted radicals; and

Wherein, if the monomeric unit is one of formula II,

x, y and z are integers which are independently between 0 and 15,

with the proviso that  $x + y + z \geq 1$ ;

$R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8$  and  $R^9$  are independently selected from hydrogen, methyl,  $C_{2-15}$  straight, branched or cyclic alkyl, alkenyl or alkynyl groups, alkaryl groups, aralkyl groups, heteroalkyl groups, heteroaryl groups, hydroxy groups, thiol groups, disulfides, ether groups, thioether groups, ester groups, carboxylic acid groups, amine groups, amide groups, halogens, nitrogen-substituted radicals; and/or oxygen-substituted radicals;

$R^{11}$ ,  $R^{21}$ , and  $R^{31}$  are di-radical groups which are independently selected from substituted or unsubstituted methylene,  $C_{2-15}$  straight, branched or cyclic alkyl, alkenyl or alkynyl groups, alkaryl groups, aralkyl groups, heteroalkyl groups, and heteroaryl groups; and

$R^{12}$ ,  $R^{22}$ , and  $R^{32}$  are independently hydrogen, methyl,  $C_{2-15}$  straight, branched or cyclic alkyl, alkenyl or alkynyl groups, alkaryl groups, aralkyl groups, heteroalkyl groups, and heteroaryl groups.

2. The biopolymer of claim 1 having a molecular weight between 300 and  $10^7$  Daltons.
3. The biopolymer of claim 1 having a molecular weight between 10,000 and 10,000,000 Daltons.
4. The biopolymer of claim 1 containing between 10 and 100,000 monomeric units.
5. The biopolymer of claim 1 containing between 100 and 30,000 monomeric units.
6. The biopolymer of claim 3 wherein the monomeric units are selected from the group consisting of 3-mercaptopropionate, 3-mercaptopropionic acid, 3-hydroxybutyrate, 3-hydroxyvalerate, 3-hydroxyhexanoate, 3-hydroxyheptanoate, 3-hydroxyoctanoate, 3-hydroxynonanoate, 3-hydroxydecanoate, 3-hydroxyundecanoate, 3-hydroxydodecanoate, 3-hydroxypropylthioundecanoic acid, 3-hydroxypropylthiooctanoic acid, 3-hydroxypropylthiohexanoic acid, 3-hydroxypropylthiobutyric acid, 3-hydroxypropylthiopropionic acid, 3-hydroxybutylthiovaleric acid, and 3-hydroxyoctylthiohexanoic acid units.
7. The biopolymer of claim 1 produced by bacterial fermentation.
8. A method of making the biopolymer of claim 1 comprising providing appropriate substrates including a thio bond to a bacterial fermentation nutrient medium, and bacteria expressing a polyhydroxyalkanoate polymerase.
9. The method of claim 8 wherein the biopolymer has a molecular weight between 300 and  $10^7$  Daltons.

10. The method of claim 8 wherein the biopolymer contains between 10 and 100,000 monomeric units.
11. The method of claim 8 wherein the bacteria express a beta ketothiolase and an acetoacetyl CoA reductase.
12. The method of claim 8 wherein the substrates are selected from the group consisting of 3-mercaptopbutyrate, 3-mercaptopropionic acid, 3-hydroxypropylthioundecanoic acid, 3-hydroxypropylthiooctanoic acid, 3-hydroxypropylthiohexanoic acid, 3-hydroxypropylthiobutyric acid, 3-hydroxypropylthiopropionic acid, 3-hydroxybutylthiovaleric acid, and 3-hydroxyoctylthiohexanoic acid.
13. A pharmaceutical composition comprising the biopolymer of claim 1.
14. The pharmaceutical composition of claim 13 wherein the biopolymer of claim 1 is used as an agent selected from the group consisting anti-bacterial agents, anti-viral agents, and anti-fungal agents.
15. The pharmaceutical composition of claim 14 wherein the biopolymer is used as an anti-bacterial agent.
16. The pharmaceutical composition of claim 15 wherein the composition is in the form of antibacterial coating.
17. A composition comprising the biopolymer of claim 1 wherein the composition is used as electrolyte.

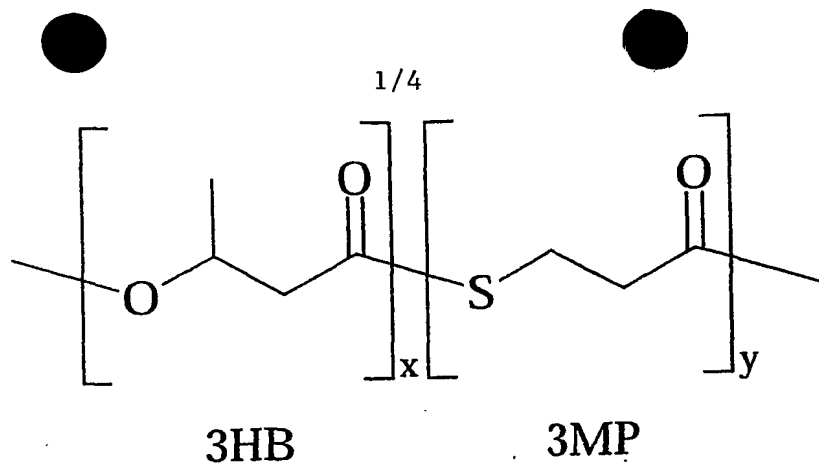


FIGURE 1

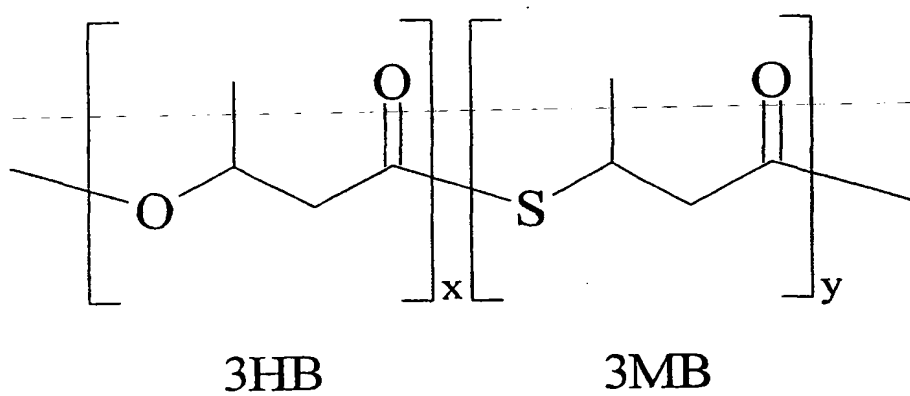


FIGURE 2

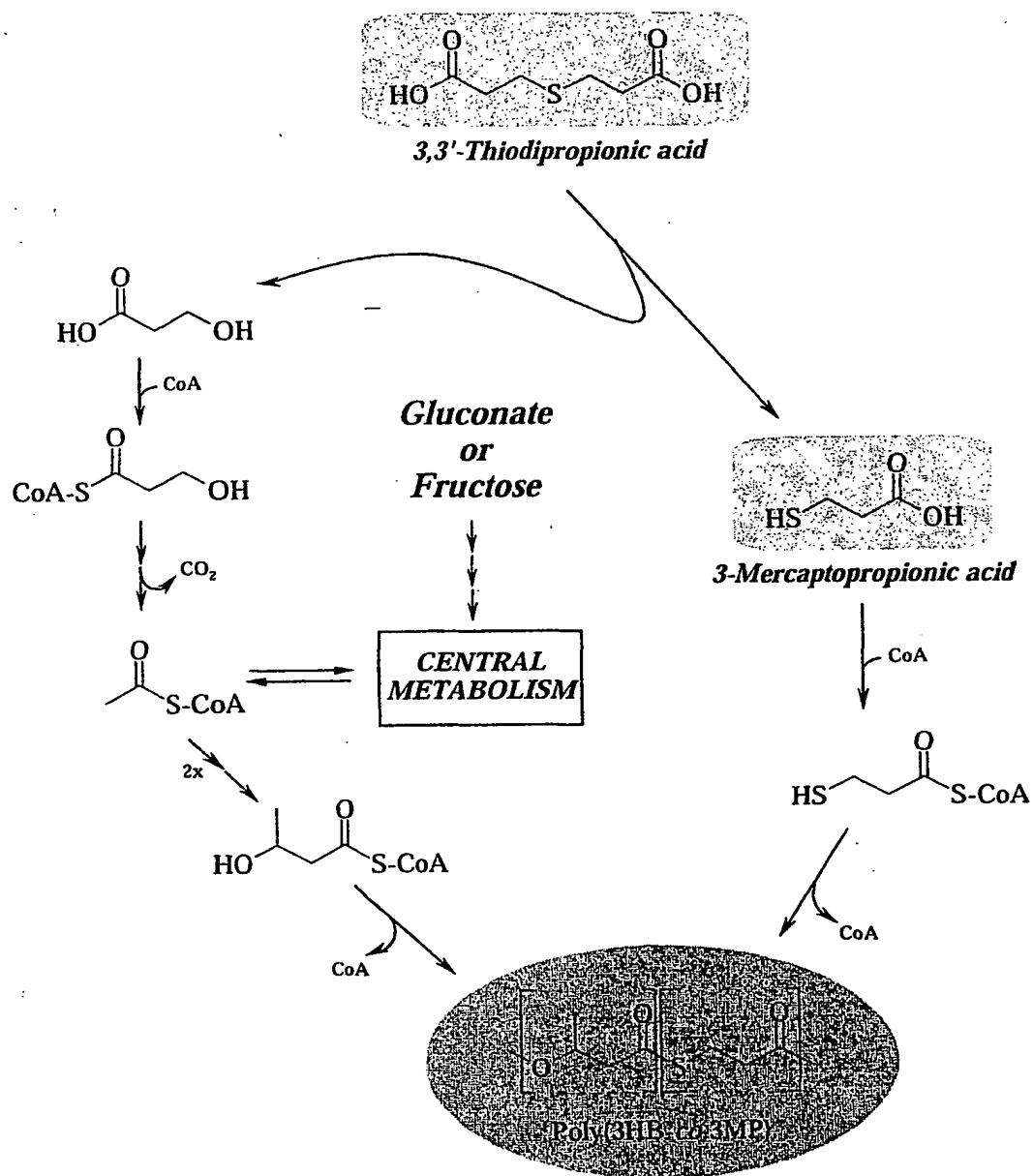


FIGURE 3

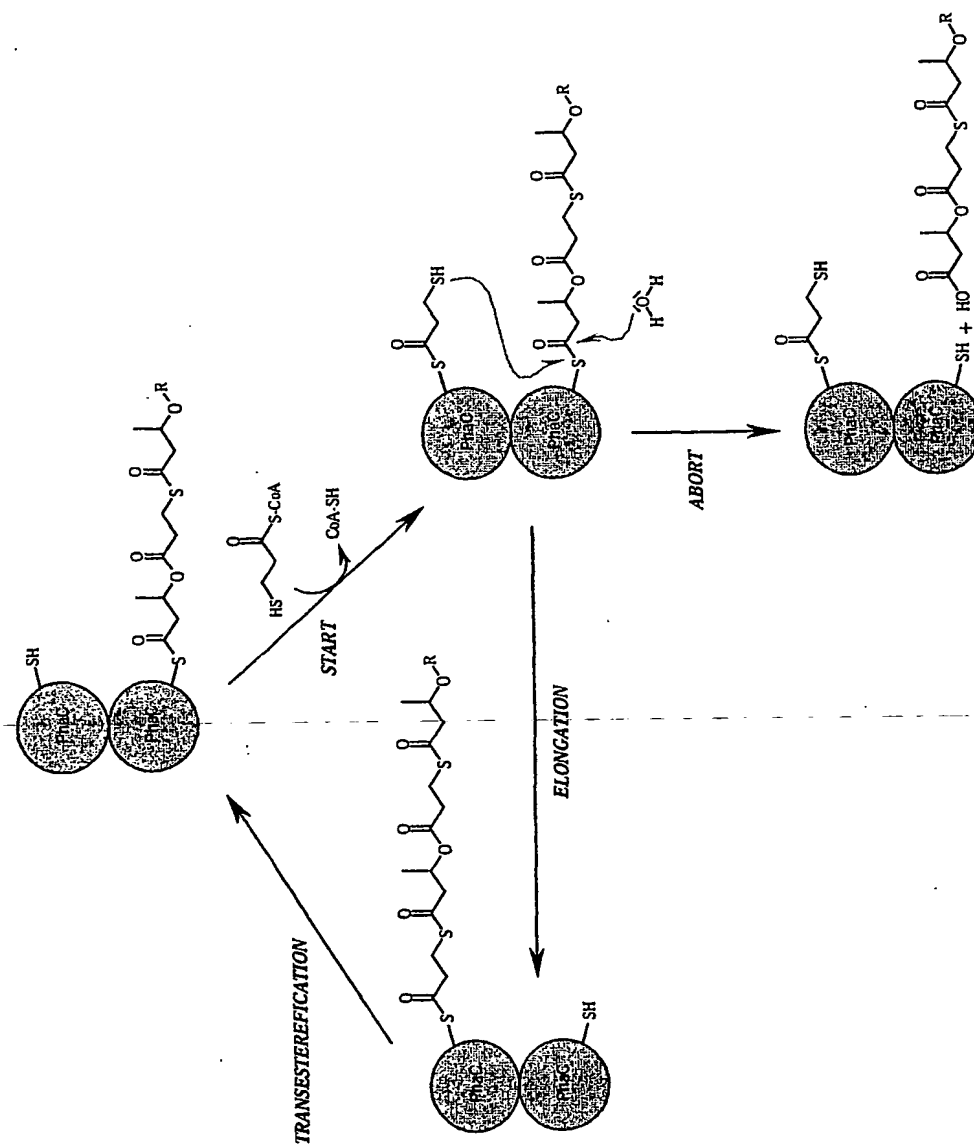


FIGURE 4



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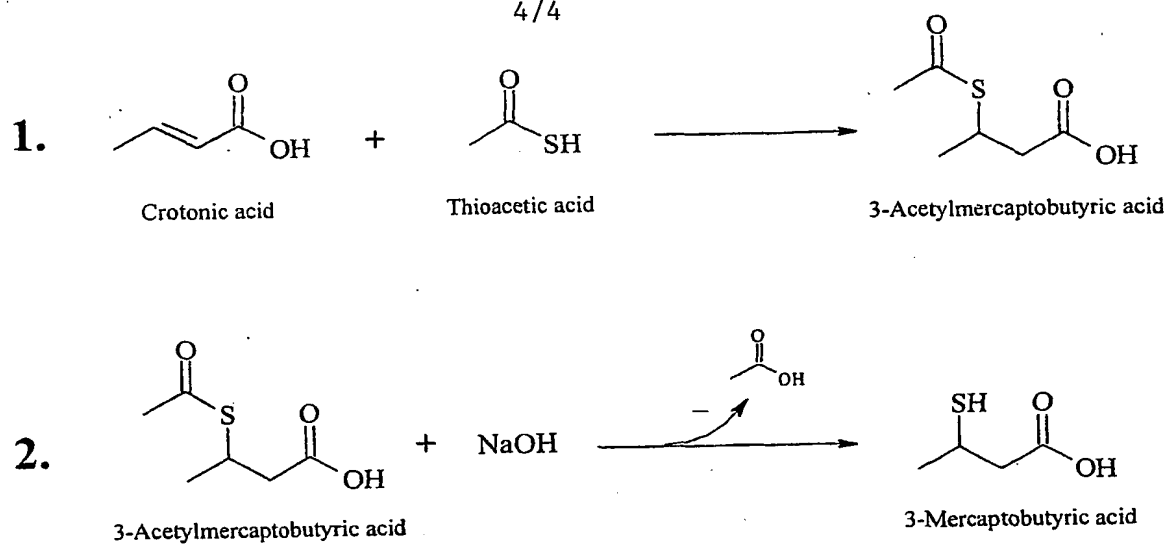


FIGURE 5

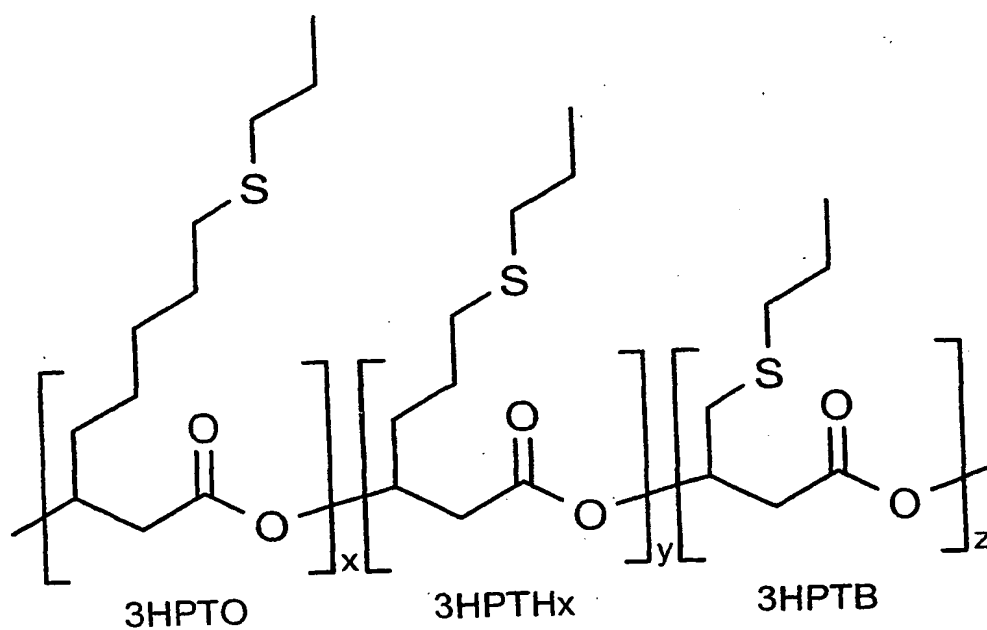


FIGURE 6



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(72) Inventors: **STEINBUCHER, Alexander**; Ronnenthal 27, 48341 Altenberge (DE). **LUTKE-EVERSLOH, Tina**;

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(54) Title: SULFUR CONTAINING POLYHYDROXYALKANOATE COMPOSITIONS AND METHOD OF PRODUCTION

(57) Abstract: A class of biopolymer including sulfur in the form of a thioester in the polymer backbone or a thioether in the polymer side chains has been developed. These are preferably produced by fermentation of bacteria with appropriate sulfur containing substrates, which are incorporated by a broad spectrum polyhydroxyalkanoate ("PHA") polymerase. The sulfur-containing PHAs allow various applications and uses in industry. Representative embodiments of the applications of the sulfur-containing PHAs include their uses in the packaging industry, medicine, pharmacy, agriculture or food industry, as active agents or as coatings, packaging, or carriers.

WO 02/016627 A3

A. CLASSIFICATION OF SUBJECT MATTER  
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C08G75/26

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12P C08G A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, SCISEARCH, CHEM ABS Data, WPI Data, PAJ

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	TAKAGI Y ET AL: "Biosynthesis of polyhydroxyalkanoate with a thiophenoxy side group obtained from Pseudomonas putida" MACROMOLECULES., vol. 32, 1999, pages 8315-8318, XP002204887 AMERICAN CHEMICAL SOCIETY. EASTON., US ISSN: 0024-9297 the whole document --- -/--	1-11, 13-17

☒ Further documents are listed in the continuation of box C.

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of documents with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	LÜTKE-EVERSLOH T ET AL.: "List of submitted abstracts" THE 8TH INTERNATIONAL SYMPOSIUM ON BIOLOGICAL POLYESTERS, 'Online! 27 July 2000 (2000-07-27), XP002204888 Retrieved from the Internet: <URL:http://web.mit.edu/biology/sinskey/ISBP2000/submitted.htm> 'retrieved on 2002-07-03! the whole document ---	1-17
A	STEINBUECHEL A ET AL: "DIVERSITY OF BACTERIAL POLYHYDROXYALKANOIC ACIDS" FEMS MICROBIOLOGY LETTERS, AMSTERDAM, NL, vol. 128, no. 3, 15 May 1995 (1995-05-15), pages 219-228, XP000828495 ISSN: 0378-1097 the whole document ---	1-17
P,X	LUETKE-EVERSLOH TINA ET AL: "Identification of a new class of biopolymer: Bacterial synthesis of a sulfur-containing polymer with thioester linkages." MICROBIOLOGY (READING), vol. 147, no. 1, January 2001 (2001-01), pages 11-19, XP002204889 ISSN: 1350-0872 the whole document ---	1-17
E	EP 1 130 043 A (CANON KK) 5 September 2001 (2001-09-05)  the whole document -----	1-5, 7-11, 13-17

# INTERNATIONAL SEARCH REPORT

national application No.  
PCT/US 01/25826

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-17 (all partially)

A biopolymer comprising thioester linkages having monomeric units of formula I

2. Claims: 1-17 (all partially)

A biopolymer comprising thioether linkages having monomeric units of formula II

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/25826

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 1130043	A	05-09-2001	EP 1130043 A2	05-09-2001
			JP 2001316462 A	13-11-2001
			US 2002065389 A1	30-05-2002
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Form PCT/ISA/210 (patent family annex) (July 1992)



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